# JSAUR: A Peer-to-Peer Academic Publishing Framework

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#### 1 Introduction

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## 1.1 Academic Publishing

Academic publishing is the process in which research is distributed to the public. It typically involves three processes [5]: first, researchers submit a manuscript to a journal, where reviewers and editors evaluate its content through some academic criteria. Second, manuscripts are formatted using the journal's standards and distributed to the public. Third, the content is consumed by the public and used for further academic processes. The purpose of academic publishing is to disseminate knowledge, or to make information and ideas accessible to a wider audience.

Until the sixteenth century, the dissemination of knowledge was very minimal. Knowledge was shared predominantly amongst few elites through oral exchange or handwritten manuscripts that were limited in circulation. The first issue of the first academic journal was published on January 5, 1665 in the Journal des sçavans established by Denis de Sallo, where church history, legal reports, and obituaries were printed and circulated among a large network of scholars [2]. Since the Journal des scavans, various historical events furthered the extent in which knowledge was disseminated, such as the publication of Diderot's Encyclopédie or the rise of public libraries that provided a platform for people who were not born of elite status to access scholarly information. The most recent event is the Open Access Movement, which was driven by the technological innovations of the World Wide Web [1]. This movement, through the rise of powerful search engines and online encyclopedias, has significantly reduced the issue of accessibility to information amongst the general public.

Students born in the early twenty-first century have the privilege to enjoy a near-unlimited access to information. However, a significant obstacle on the path to knowledge dissemination still exists, pertaining to the feasibility in which students, and much of the wider population, are able to contribute their ideas to academia.

# 1.2 Barriers to Entry

Although making a normal profit is crucial for the longterm stability of academic journals, there has long been a criticism of journals prioritizing profit over access. In 2012, thousands of researchers boycotted *Elsevier*, one of the largest academic publishers in the world, due to restrictive access policies and high prices [4]. This boycott, known as the "Cost of Knowledge" protest, was not a unique instance. Controversies have stemmed from many respected journals charging abnormally high publication fees such as the *Public Library of Science* (PLOS), a non-profit that has an article process charge (APC) of over \$1749. While we recognize *PLOS* for being relatively transparent with their APC breakdown, their page on price transparency includes vague categories such as "services from acceptance to publication" and still lacks in justifying the high price of publishing [10].

Unaffordable prices are not the only factor deterring students from publishing research. Studies have shown that postgraduates students face barriers to publishing research due to reasons such as lack of funding, limited scientific writing, or a fear of rejection [8]. A high bar of what an "ideal" research should look like is a significant deterrence for student researchers, especially students who have not yet achieved a postgraduate degree. In recent years, various factors have raised this bar to an unprecedented height where student researchers have little chance to publish their research in journals where they can receive professional exposure.

During the COVID-19 pandemic, scientific journals all around the world saw a huge spike in COVID-related submissions, with *Elsevier* health submissions up by around 92% [3]. To combat the COVID-19 crisis, various journals streamlined editorial processes to rapidly disseminate critical health information. However, this also led to a significant increase in non-COVID research due to decreasing demands and increasing delays [11]. Today, there is little room for student researchers in academic publishing. To share their ideas, passionate students resort to alternative outlets like competitive science fairs and expensive student-only journals that often lack professional exposure. One of the core problems that this whitepaper will address are these high barriers of entry to academic publishing.

#### 1.3 Transparency

We have already introduced the issue of monetary transparency through the example of *PLOS*. Another issue with

transparency arises when we look into the peer-review processes of academic journals.

Peer-review is one of the most important functions of a journal to check for the quality, accuracy, and bias of research before it becomes published. The process takes place during the production stage of academic publishing, where the manuscript is given to independent authors in the relevant field, who assess the manuscript for the aforementioned qualities. However, current peer-review is conducted by journals using methods that are criticized for objectiveness and the methods used to select independent reviewers is insufficient to maintain the highest levels of academic standard.

In 2014, *Nature* published two papers by Haruko Obokata regarding the discovery of a novel method to create pluripotent stem cells [6]. Shortly after publication, researchers around the world failed to replicate the results, and the paper was eventually retracted due to a problematic methodology. This incident is often attributed to *Nature*'s reliance on the reputation of authors, which resulted in a less rigorous review process for Obokata, a prominent researcher in the field, where a failure to identify the critical flaws in her methodology resulted in significant damage to her career.

In 2015, the *Journal of Vibration and Control* (JVC) retracted sixty papers after it was discovered that a "peer-review ring" had been manipulating the review process [9]. It was discovered that Peter Chen, a researcher, created fake reviewer accounts and submitted favorable reviews for his own papers and those of his colleagues. Due to journals, such as *JVC*, not disclosing the names of peer-reviewers for the sake of protection, it is difficult for the public to identify these problems, and we can only rely on journals to resolve these issues themselves.

These cases show that the current system that operates behind closed doors allows for unfair bias, manipulation, and detrimental errors. The bias towards author credentials shown in Obokata's case disproportionately harms student researchers who don't have the credentials to publish in a less rigorous environment. On a further note, while we recognize that most respected journals put many precautions to ensure an objective system, the power that journals wield over publications combined with their lack of transparency inevitably allows for the potential of undetected malicious actions.

# 2 Our Solution

We propose the Journal of Secondary and Undergraduate Research (JSAUR), a framework for academic publishing that continues the Open Access Movement in making the consumption of knowledge free. However, JSAUR will bring three new contributions:

1. A free-to-publish platform: While we acknowledge

- the financial constraints that drive many academic journals to charge publication fees, we don't agree with this norm. JSAUR seeks to create an equal opportunity to publication for all authors whose research shows reliability, impact, and novelty by eliminating publication fees.
- 2. Peer-review: We recognize that current platforms that allow any user to write open-access information, such as Wikipedia, are regarded as unreliable in the professional field. JSAUR will maintain an equally high level of academic rigor and credibility as traditional journals through a unique system of transparent peerreview.
- 3. Decentralization: We believe that knowledge is a public good. Therefore, journals shouldn't have power over its production, distribution and consumption, but simply act as a medium in which the former processes happen. JSAUR aims to create a transparent system where the power to determine academic criteria is placed in the hands of authors themselves.

JSAUR's name refers to high-school and undergraduate students as they are the most disenfranchised demographic in the field of academic research. However, JSAUR is not a journal exclusively for students. Authors of any background can publish at JSAUR as the problems that we intend to solve pertain to the whole of academia.

#### 3 Peer-Review

#### 3.1 Decentralization

The traditional system of peer-review is proven to be effective at ensuring academic quality if performed in an unbiased and optimal manner. The problems with this model stem from transparency issues and excessive centralized power. We will tackle these issues by decentralizing our peer-review process.

In our model, when an author (let's call them Author *A*) submits a manuscript for peer review, three other authors (*B*, *C* and *D*) from the same discipline are randomly selected to review the manuscript based on a set of standard academic criteria outlined by JSAUR. These reviews are conducted double-blind, meaning that Author A and the reviewers (*B*, *C* and *D*) remain anonymous to each other. This approach minimizes bias and reduces the risk of peerreview fraud.

During this process, Author A is also required to review the work of three other randomly selected authors (E, F) and G) in their discipline. Once the reviews are completed, Author A receives feedback from reviewers B, C and D to help improve their research to meet academic standards. After the peer-review process, the revised manuscript and its reviews are publicly disclosed on JSAUR. Users will then

democratically vote on the quality and relevance of the reviews and determine whether Author *A* has sufficiently improved their research based on the feedback received.

If the research passes the initial screening, a smart contract is activated, and the work, along with the identities of the authors and reviewers is published on a distributed Interplanetary File System (IPFS) database and permanently recorded on the JSAUR blockchain (see sections 4.1 and 4.2 for more details). If Author A provides a poor review that fails the democratic vote, they must write an additional review that meets approval before their manuscript can be published. The original author (E, F and G) will also receive a new review from another randomly selected reviewer. The withdrawal process is much simpler, where authors can withdraw their publications from their accounts at their own discretion.

In this democratic model of peer-review, JSAUR provides a medium in which authors receive peer-review and public screening, but has no potentially unfair influence over the work that is published. This process ensures that the contents of the research is the only factor that determines whether it can be published. Transparency is solved under this system because users fully control the publication process and all factors that contribute to the publication are publicly disclosed. Furthermore, this system does not suffer from influxes of submissions (such as the COVID-19 influx) like traditional journals as the speed of the editorial process scales automatically with the number of submissions.

#### 3.2 Incentive Mechanisms

In a decentralized system, participation in the peer-review process and public screening is entirely voluntary. Although democratic, this system also gives authors the ability to engage in malicious reviews and abstain from public votes which are detrimental for the survival of the system. To address this issue, known as the Byzantine Fault, JSAUR has incentive mechanisms to motivate authors to positively engage with the platform without compromising academic integrity [7].

JSAUR's peer-review process (see section 3.1) is structured to ensure active participation and high-quality reviews. Each author is required to provide three acceptable reviews for other submissions, while their own submission must also receive three acceptable reviews. This reciprocal requirement mathematically balances the number of reviews needed and those provided. Since all reviews are stored permanently on a blockchain and are publicly visible, authors are strongly motivated to produce quality reviews to maintain their reputation. Additionally, the democratic voting system ensures that only well-reviewed manuscripts are published, as failed public screenings prevent publication.

To address the potential of a lack of participation in pub-

lic voting, JSAUR introduces a cryptocurrency incentive. The platform will randomly reward one of the first 100 users who participate in the voting process (for each submission) with JSAUR coins (see section 5.1). It should be noted that, to avoid bias, users are unable to see current vote counts or proportions until the vote is concluded after 72 hours and at least 100 votes.

#### 3.3 Conflict Resolution

We recognize that while our system of decentralized peerreview addresses several of the major problems of the traditional system, there exists cases of conflict that we must address. Conflicts such as voting manipulation or anonymity conflicts will be addressed in section 4.4, while two other identified potential conflicts are addressed below.

- 1. Public Vote Disputes: We recognize the potential and probability of biased or unrepresentative public votes. Therefore, reviewers and authors that receive over a third of the public vote (but less than the simple majority required to pass) may appeal for a re-vote. Revotes are identical to normal public votes, but users who voted in the original vote are unable to vote in the re-vote. A re-vote for a given submission may only occur once.
- 2. Governance Disputes: JSAUR is built with the future intention to be fully decentralized and without a centralized moderation team. Therefore, community requests to change features such as research criteria, review criteria, or smart contract requirements must be addressed. JSAUR will enable user-led petitions to change these features which require half of all authors voting with a super-majority (2/3 of votes) to pass. These submissions only undergo public voting when at least 100 authors agree to it by signing.

#### 4 Technical Architecture

#### 4.1 Delegated Proof-of-Stake

Blockchain, introduced by Satoshi Nakamoto in 2008, is a highly secure method for storing immutable information [9]. It uses a distributed ledger where a network of users, known as "nodes," collectively agree on a consensus algorithm to verify incoming data. JSAUR employs blockchain to address three key issues:

- Incentives: As discussed in section 3.2, blockchain records are both immutable and publicly accessible, creating a strong reputation-based incentive. This encourages reviewers to provide constructive feedback and motivates authors to enhance their research to meet academic standards.
- 2. Long-Term Sustainability: JSAUR cannot guarantee permanent protection against events that could disrupt its network or website, a blockchain ensures that

crucial information—such as research data, author details, and reviews—remains secure. This allows JSAUR to be reconstructed elsewhere, preserving academic integrity and information.

3. Domain Object Identifiers (DOIs): DOIs are a universally recognized method of identifying research as they permanently point to an article even if its address has changed. However, JSAUR disagrees with the centralized system of assigning DOIs, which require subscription costs and trust in third-party Registration Agencies. Since research data is stored on the JSAUR blockchain in unique blocks, blockchain addresses can provide as a full substitute for traditional DOIs while being fully decentralized.

To implement a well-functioning blockchain, JSAUR must develop a reliable consensus algorithm, establish an incentive mechanism for validation, and ensure that users can participate in validation without needing extensive technical knowledge. JSAUR will use a Delegated Proof of Stake (DPoS) consensus mechanism to address these challenges.

In a DPoS system, each node is given a certain number of votes, which can be used to support a user or "delegate" they trust. The more votes a delegate receives, the more likely they are to be selected for validation. To become a delegate, a user must stake a set amount of JSAUR coins, similar to Proof of Stake. During validation, five selected delegates update the blockchain by adding the latest JSAUR information. This information, known as a "block", is the data that contains the data on the newest 100 publications and JSAUR coin transactions in this period. Delegates who correctly update the blockchain are rewarded with JSAUR coins, and small rewards are also given to the participants who voted for the successful delegate. If there is a discrepancy among the elected delegates' updates, nodes and delegates must reach a consensus on the correct update before it is added to the blockchain. Delegates who fail to update the blockchain correctly will lose their eligibility for future elections and forfeit their stake. When authors decide to withdraw an article, it is removed from JSAUR's IPFS using a garbage run, and the next elected delegate will validate the removal of a publication. However, the records of adding and removing the publication is permanently stored on the blockchain to ensure transparency.

The largest systematic issue with DPoS is the risk of collusion. If popular delegates work together and are elected, DPoS systems run the risk of being compromised from 51% attacks [12]. JSAUR plans to deal with this in two methods: first, we will prohibit delegating nodes from revealing their identity to other nodes. A delegating node may only rally votes through their public history of either voting for trusted delegates or performing correct val-

idations. If delegates reveal their identities, especially to other delegates, the JSAUR network is instructed to vote to remove them from the eligible pool of delegates. Second, JSAUR coins awarded to voters is static, which means that nodes that vote for less-popular delegates are rewarded with a higher share of reward. This allows nodes to find a balance between trustworthy voters while preventing network power being concentrated in a small group.

The validation network for JSAUR blockchain transactions operates separately from the publication platform and will require users to undergo additional processes and orientation before registering as nodes. This DPoS system minimizes environmental impact compared to Proof of Work and avoids the centralization risks seen in traditional Proof of Stake systems. In this network, users familiar with blockchain validation can participate as delegates, while those less familiar with the technology can still engage by voting for delegates.

#### 4.2 Smart Contracts

The immutable nature of blockchains requires all information submitted to be correct. JSAUR will achieve this using smart contracts: digital programs that execute when a set of criteria are fulfilled. Rather than being backed by law, smart contracts are objective agreements that are backed by software or hardware (in this case, it is backed by the JSAUR blockchain). JSAUR smart contracts oversee the publication process, and are activated through the conditions shown in 3.1. JSAUR smart contracts have the following features:

- 1. The author must be Know-Your-Client (KYC) verified (see section 4.4)
- 2. The manuscript submitted by the author must have passed initial screening and formatting (see section 4.3)
- 3. The author must have written three reviews to other submissions, all reviews must have passed a public screening vote by simple majority.
- 4. The author must have received three reviews and updated their research accordingly. These actions must pass a public screening vote by simple majority.
- 5. If conditions 1 through 4 are fulfilled, the usernames of the author, reviewers, manuscript metadata, and public voting results are structured in a block that will be validated in the JSAUR blockchain.

The manuscript metadata contains the date of publication, author(s), and a pointer to the publication and reviews which are stored on IPFS.

The smart contract layout above ensures that publications who make it on the blockchain have passed all JSAUR

publication and peer-review stages. For transparency, authors will be known of their smart contract progress during the publication stage. After publication, all users on JSAUR are able to view these details to easily view the decision making process. In the instance where the JSAUR platform decides to update the smart contract features, they may do so using petitions described in section 3.3. Furthermore, since smart contracts are the last stage before submissions become immutable, early submissions will be handled by a centralized JSAUR administration before the smart contract can be deployed with absolute correctness in its record keeping.

# 4.3 Artificial Intelligence

The rise of large language models (LLMs), particularly ChatGPT, has demonstrated the ability for Artificial Intelligence to make complex logical reasoning but also highlight areas where LLMs are unable to precisely handle independently. JSAUR will use aspects of AI to increase objectivity and efficiency, while reducing bias. JSAUR will train models that assist with the following aspects:

- 1. Elementary Manuscript Feedback: One of the immediate applications of AI in JSAUR is providing elementary feedback on submitted manuscripts. Although AI is not yet equipped to offer content-specific feedback, its proficiency in language processing allows it to accurately screen for vocabulary and grammatical errors. This initial screening ensures that human reviewers can dedicate their attention to evaluating the substance of the research.
- Formatting Assistance: AI also plays a critical role in streamlining the publication process by automating the formatting of manuscripts into the standardized JSAUR template in LaTeX. This approach is more efficient than human formatting and more precise using a well-trained model.
- 3. Keywords and Tagging: With the author's consent, AI is used to assigns relevant keywords to published articles. This feature enhances the searchability of articles within the JSAUR database, making it easier for researchers to find and reference work that aligns with their interests.

In the long term, JSAUR expects the development of more sophisticated models to handle additional tasks to assist in fully decentralizing the network.

Advanced Feedback Analysis: As AI technology continues to mature, JSAUR plans to expand its role in the peer-review process. In addition to the community-driven screening of reviews, AI will act as an additional layer of protection against malicious or inadequate feedback. By identifying patterns of bias or er-

rors, AI can help ensure that feedback is constructive and aligned with the journal's standards.

- 2. User Assistance: Understanding that JSAUR's features may be complex for new users, particularly student authors, we plan to deploy AI as a virtual assistant. This AI-driven support will guide users through the publishing process, answer common questions, and provide real-time assistance. AI will also be used to help users become familiar with blockchain fundamentals, helping more users to participate in the JSAUR blockchain validation.
- 3. Website Maintenance and Autonomy: While the initial launch and development of the JSAUR network will be done by human developers in the JSAUR administration, we plan to gradually incorporate AI into assisting this development. In the long run, this also involves AI being able to fully take over JSAUR website maintenance, which will allow the website to become truly decentralized.

Using AI to automate repetitive and basic tasks will allow authors to concentrate on the research itself and speed up the process of disseminating information. We recognize the current issues where LLMs exhibit bias and potential for error in subjective fields (such as advanced feedback analysis) and therefore will only deploy AI in areas where it can perform at least as well as a human expert.

# 4.4 Privacy and Security

To ensure the credibility and authenticity of research submitted to JSAUR, we will use a KYC process for users who wish to submit papers for peer review. KYC is a standard identity verification procedure designed to prevent fraud, ensure compliance with legal requirements, and maintain the integrity of the academic record.

Users submitting papers for peer review must provide identification documents, such as a government-issued ID, which are verified by trusted services which are initially chosen by JSAUR, but may be changed to more secure service in the future by users using the petitioning model in section 3.3. This service is responsible for confirming that the user is a real individual and that the documents provided are authentic. For student authors under the legal age in their jurisdictions, identity verification must be completed by a parent or guardian.

Despite these measures for security, privacy is also one of JSAUR's top priorities. After verifying their identities, users have the option to publish their work anonymously post verification. This allows authors to maintain privacy while ensuring that the system can hold them accountable if necessary. JSAUR encrypts user data using AES-256, one of the highest encryption standards, and will not hold this data past verification or in any publicly visible location.

Recognizing that not all users may be eligible for or comfortable with the KYC process, JSAUR will allow users to publish without undergoing verification. These publications will still be accessible on the platform and given equal exposure opportunities, but they will not be eligible for peer review and will be clearly marked as non-peer-reviewed research. This dual system ensures that JSAUR remains inclusive while maintaining high standards for peer-reviewed work.

As future zero-knowledge proof (ZKP) verification processes mature, JSAUR can also update the platform to use these methods to maintain secure decentralization with less requirements on authors' personal data.

# Economic Model

### 5.1 Cryptocurrency

Traditional cryptocurrencies like Bitcoin and Ethereum, while revolutionary in their use of blockchain technology, are frequently criticized in that they are not backed by any physical or measurable assets. Their value is derived predominantly from supply and demand dynamics in the market, which can lead to rapid fluctuations in price. This volatility has contributed to the view of cryptocurrencies as unstable and risky. Furthermore, the speculative nature of these currencies has given rise to dangerous market bubbles. This not only harms investors but also undermines the credibility of cryptocurrencies as a reliable store of value or medium of exchange.

The JSAUR coin represents a new paradigm in cryptocurrency by being backed by something measurable and intrinsically valuable: the supply of knowledge. Unlike traditional cryptocurrencies, the value of the JSAUR coin is directly tied to the academic and research output of the JSAUR platform. As more research papers are published, reviewed, and disseminated through JSAUR, the supply of knowledge increases which requires the JSAUR coin as a mechanism to keep this democratic process sustainable for validators. This approach grounds the coin in a tangible and ever-growing asset.

The JSAUR coin is designed to serve multiple roles within the JSAUR framework as described by previous sections. In order to create a sustainable model without unnecessary inflation, the rewards that are outlined below will half for every doubling of the value of a single JSAUR coin.

- Public Voting: one of the first 100 users who participate in the voting process of a submission will be randomly selected to be awarded one JSAUR coin as an incentive against abstention.
- 2. Staking Incentives: the JSAUR coin creates an incentive for users to maintain the JSAUR blockchain, which is a critical feature that ensures the security, transparency, and longevity of the platform. Delegates

- who validate blocks are rewarded 5 JSAUR coins and nodes who voted for that delegate equally share 5 JSAUR coins.
- Governance: the maintenance fees of the JSAUR website, KYC partnerships, and additional services required to keep the JSAUR network running will be eventually covered with JSAUR coins.

We believe that since JSAUR coin is a currency that holds its value outside of the platform, it could be used further purposes such as education-related markets.

#### **5.2** Grants and Donations

The success and sustainability of JSAUR as a decentralized academic platform are deeply rooted in the support of its community. In the early stages of JSAUR, maintaining the platform, covering startup costs, and ensuring its growth will rely heavily on donations and grants from individuals and organizations that believe in the mission of democratizing access to academic publishing.

JSAUR will implement a transparent system for managing donations. Budgeting details that involve all donations are publicly displayed and regular updates and reports will be provided to donors, detailing the impact of their contributions and the progress being made towards JSAUR's goals. This transparency ensures that every donation is used effectively and in alignment with the platform's mission. Most of the initial budget is going to be used for research and development of the new blockchain, cryptocurrency, and decentralized elements.

#### 6 Further Considerations

#### 6.1 Legal Compliance

Operating in a decentralized environment presents unique legal challenges. JSAUR must navigate a complex web of regulations that vary significantly by country and region, including those related to data protection, intellectual property, and financial transactions. To ensure compliance, JSAUR will work closely with legal experts to monitor and adapt to regulatory changes in jurisdictions around the world.

One of the key legal considerations for JSAUR is the protection of intellectual property (IP) rights. JSAUR must implement robust measures to protect these rights and prevent unauthorized use or distribution of content. Smart contracts and blockchain records will play a crucial role in enforcing IP rights, ensuring that authors' contributions are respected and that any use of their work is properly credited and compensated. Additionally, we will provide clear guidelines to users on how to handle IP issues.

Although the JSAUR coin is categorically different than traditional cryptocurrencies, its importance to the platform means that JSAUR must ensure that it satisfies regulations

around the world and be designed to avoid being misused in areas such as money laundering.

#### **6.2** Social Perceptions

As a decentralized platform, JSAUR is going to face skepticism from the traditional academic community accustomed to established, centralized institutions with long histories. Overcoming this skepticism will require time and a sufficient demonstration of JSAUR's commitment to quality, transparency, and ethical standards in academia. In the early stages of development, endorsements and case studies from respected academics and successful implementation of the concepts outlined in this whitepaper will be imperative for JSAUR to build credibility.

JSAUR has a responsibility to challenge established perceptions regarding decentralization and cryptocurrencies. Initial deployments and uses of the JSAUR coin must be closely documented to properly differentiate the our network from the thousands of other cryptocurrencies. In order for these social perceptions to change, it becomes JSAUR's mission to demonstrate that the technologies associated with decentralization can be used to change traditional systems for the better.

# 7 Conclusion

We have proposed a system that applies the power of decentralization, blockchains, and artificial intelligence to create an academic journal that addresses long standing issues in traditional publishing such as barriers of entry, lack of transparency, and centralized control. We created a equitable and democratic process that achieves the purpose of academic publishing - to disseminate knowledge - while ensuring a more objective process of maintaining academic standards than traditional academic journals. Furthermore, we have shown that cryptocurrencies and blockchain technology are excellent tools that society can use to step into the next digital age.

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